

# CLIENT BROKERAGE FEE SCHEDULE



This Client Brokerage Fee Schedule shall be applicable to First Command Brokerage Services, Inc. brokered accounts held at Pershing LLC.

		APPLICABLE FEE
<b>NON-ADVISORY ACCOUNTS</b>		
Equities/ETF Market Order		\$50 (plus \$4 Miscellaneous/Confirmation Fee)
Equities/ETF Limit Orders		\$50 plus an additional \$.02 per share (plus \$4 Miscellaneous/Confirmation Fee)
Agencies, Secondary CDs, Corporates, MBS, Municipals (Fixed Income)		\$0.625 to \$15 per bond, depending on maturity (plus \$4 Miscellaneous/Confirmation Fee)
Treasuries (Fixed Income)		\$0.625 to \$7.5 per bond, depending on maturity (plus \$4 Miscellaneous/Confirmation Fee)
Mutual Fund Trades		\$0 per purchase or redemption. <i>Applicable sales charges apply (see prospectus)</i>
Mutual Fund Surcharge (Special Funds)		Applicable fee for load fund or no-load fund plus an additional \$10 per purchase or redemption
Unit Investment Trusts		\$50 per purchase or redemption (plus \$4 Miscellaneous/Confirmation Fee)
<i>*Sales charges may apply to mutual fund and UIT purchases. Refer to the fund prospectus for sales charge information including breakpoints (reduced sales charges based on size of purchase).</i>		
<b>IRA CUSTODIAL FEES (fees assessed by First Command Bank)</b>		
Traditional, Roth, SEP, Coverdell <sup>1</sup>		\$25 per account
SARSEP, Simple, QRP, 403(b)		\$50 per account
IRA Termination Fee		\$75 per account
<b>MISCELLANEOUS FEES</b>		
<b>Transfers:</b>	Legal, GNMA, and Restricted	\$150
	Accommodation Transfers	\$70
	Register and Ship	\$70
	Outgoing Account Transfers (ACAT Transfers)	\$90
	Global Transfers Receive/Deliver	\$35 plus settlement fees
	Direct Registration	\$15
	Corp Muni Transfer & Ship	\$70
	Government Bonds UITs Transfer	\$70
Non-FundServ and No-Load Mutual Fund Certificates		\$50
Wire Funds (US)		\$25
Wire Funds (Non-US)		\$30
<b>Overnight Courier Fees:</b>	Standard Delivery	\$12
	Saturday Delivery	\$18
	Overnight Foreign Delivery	\$25
Miscellaneous/Confirmation Fee		\$4
Paper Confirmation Fee Surcharge		\$1
Paper Statement Fee Surcharge		\$1
<b>Foreign Receipt and Delivery Fees:</b>	Euroclear	\$50
	All other foreign securities	\$75
GTC Confirm		\$1
Returned ACH/Check Fee		\$30
Safekeeping - Customer Name		\$11 per account, per position, per month
Safekeeping - Foreign Securities		\$11 per account, per position, per month
Stop Payment Fee		\$20
Voluntary Reorganization Items		\$50
Annual/ Year End Statement		\$3

<sup>1</sup>First Command Bank will waive the annual maintenance fee for Traditional and Roth IRA accounts in excess of \$250,000. The account value will be calculated as of September 30 each calendar year.

Sales of certain securities may be subject to fees imposed by the Securities and Exchange Commission. These fees are disclosed on the trade confirmation under the heading "Transaction Fee."

First Command Brokerage Services, Inc. reserves the right to provide a 50% reduction in the published fee schedule for accounts held by non-profit groups that support US military organizations.

If you have any questions regarding this Brokerage Account Fee Schedule, please contact your Financial Advisor or call 800-443-2104.

This Brokerage Fee Schedule is as of June 2, 2020. Commissions and fees subject to change without notice.