

# CLIENT BROKERAGE FEE SCHEDULE



This Client Brokerage Fee Schedule shall be applicable to First Command Brokerage Services, Inc. brokered accounts held at Pershing LLC.

		APPLICABLE FEE
<b>NON-ADVISORY ACCOUNTS</b>		
Equities/ETF Market Order		\$50 (plus \$4 Miscellaneous/Confirmation Fee)
Equities/ETF Limit Orders		\$50 plus an additional \$.02 per share (plus \$4 Miscellaneous/Confirmation Fee)
Agencies, Secondary CDs, Corporates, MBS, Municipals (Fixed Income)		\$0.625 to \$15 per bond, depending on maturity (plus \$4 Miscellaneous/Confirmation Fee)
Treasuries (Fixed Income)		\$0.625 to \$7.5 per bond, depending on maturity (plus \$4 Miscellaneous/Confirmation Fee)
Mutual Fund Trades		\$0 per purchase or redemption. <i>Applicable sales charges apply (see prospectus)</i>
Mutual Fund Surcharge (Special Funds)		Applicable fee for load fund or no-load fund plus an additional \$10 per purchase or redemption
Unit Investment Trusts		\$50 per purchase or redemption (plus \$4 Miscellaneous/Confirmation Fee)
<i>*Sales charges may apply to mutual fund and UIT purchases. Refer to the fund prospectus for sales charge information including breakpoints (reduced sales charges based on size of purchase).</i>		
<b>IRA CUSTODIAL FEES</b> (fees assessed by First Command Bank)		
Traditional, Roth, SEP, Coverdell <sup>1</sup>		\$25 per account
SARSEP, Simple, QRP, 403(b)		\$50 per account
IRA Termination Fee		\$75 per account
<b>MISCELLANEOUS FEES</b>		
<b>Transfers:</b>	Legal, GNMA, and Restricted	\$150
	Accommodation Transfers	\$70
	Register and Ship	\$70
	Outgoing Account Transfers (ACAT Transfers)	\$90
	Global Transfers Receive/Deliver	\$35 plus settlement fees
	Direct Registration	\$15
	Corp Muni Transfer & Ship	\$70
	Government Bonds UITs Transfer	\$70
Non-FundServ and No-Load Mutual Fund Certificates		\$50
Wire Funds (US)		\$25
Wire Funds (Non-US)		\$30
<b>Overnight Courier Fees:</b>	Standard Delivery	\$12
	Saturday Delivery	\$18
	Overnight Foreign Delivery	\$25
Miscellaneous/Confirmation Fee		\$4
Annual Tax Document Fee <sup>2</sup> Effective 01/01/2024		\$10 per account
Paper Confirmation Fee Surcharge		\$1 (effective through 12/31/2023. See Paper Subscription Fee for updated fee effective 01/01/2024)
Paper Statement Fee Surcharge		\$1 (effective through 12/31/2023. See Paper Subscription Fee for updated fee effective 01/01/2024)
Paper Subscription Fee <sup>3</sup> (Account Statements, Trade Confirms, Account Notifications) Effective 01/01/2024		\$2 per account, per month, assessed in quarter end months
<b>Foreign Receipt and Delivery Fees:</b>	Euroclear	\$50
	All other foreign securities	\$75
GTC Confirm		\$1
Returned ACH/Check Fee		\$30
Safekeeping - Customer Name		\$11 per account, per position, per month
Safekeeping - Foreign Securities		\$11 per account, per position, per month
Stop Payment Fee		\$20
Voluntary Reorganization Items		\$50
Annual/ Year End Statement		\$3

<sup>1</sup>First Command Brokerage Services will waive the annual maintenance fee for Traditional and Roth IRA accounts in excess of \$250,000. The account value will be calculated as of September 30 each calendar year.

<sup>2</sup>If enrolled in the monthly paper subscription on 12/31, the annual Tax Document fee will not apply for tax documents for the prior year. The paper fee will accrue as of December 31<sup>st</sup> and assessed in March.

<sup>3</sup>Accounts must be enrolled in e-Delivery of all three document types (Account Statements, Trade Confirmations, and Account notifications) to avoid the monthly paper subscription fee.

*Sales of certain securities may be subject to fees imposed by the Securities and Exchange Commission. These fees are disclosed on the trade confirmation under the heading "Transaction Fee."*

*First Command Brokerage Services, Inc. reserves the right to provide a 50% reduction in the published fee schedule for accounts held by non-profit groups that support US military organizations.*

*If you have any questions regarding this Brokerage Account Fee Schedule, please contact your Financial Advisor or call 800-443-2104.*

*This Brokerage Fee Schedule is as of January 1, 2024. Commissions and fees subject to change without notice.*